THE CROPS ACT

(No 16 of 2013)

THE CROPS (HORTICULTURAL CROPS) AMMENDED REGULATIONS, 2025

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IN EXERCISE of the powers conferred by section 40 of the Crops Act, 2013, the Cabinet Secretary Ministry of Agriculture, and Livestock Development (MOALD) in consultation with the Agriculture and Food Authority and the County Governments, makes the following regulations

THE CROPS (HORTICULTURAL CROPS) AMMENDED REGULATIONS, 2025

PART I—PRELIMINARY

1. These Regulations may be cited as the Crops (Horticultural Crops) (Amendment) Regulations, 2025

Citation.

2. In these Regulations, unless the context otherwise requires—

Interpretation

No. 14 of 2013

"Act" means the Crops Act (Cap. 318);

"Authority" means the Agriculture and Food Authority established under section 3 of the Agriculture and Food Authority Act (Cap. 317);

"agreement" means a negotiated and binding arrangement between a dealer and a grower of horticultural produce; "collection centre" means an area established close to growers for bulking of produce for purposes of collective marketing and enforcement of quality standards;

"crops inspector" means a person who is appointed as such, in writing, by the Authority in accordance with section 27 of the Act;

"dealer" means a natural person, a company or a firm engaged in collecting, transporting, sorting, grading, packaging, storing, buying or selling horticultural produce and includes a ship chandler, an exporter, an importer, processor or a marketing agent; "domestic market" means a market for horticultural produce that is situated within the country;

"export" means the selling of horticultural produce and products in a market that is outside Kenya; "financial year" means the period of twelve months commencing on the first of July and ending on the thirtieth June of the subsequent year;

"grower" means a person who cultivates horticultural crops in Kenya and includes a smallholder and a plantation grower;

"horticultural crop" means a crop listed under the First Schedule; import" means to bring into Kenya horticultural produce/product from another country;

"levy" means a payment imposed on horticultural produce/products based on a criterion determined and published in the gazette by the cabinet secretary;

"marketing agent" means a natural person, company or firm engaged in buying horticultural produce for sale in the domestic market or export market;

"mother block" means an established area for plants known to be free from diseases and true to type that is used as a source of stock for propagation for the purpose of obtaining clean grafting or budding material;

"national horticulture standards" means the Kenyan Horticulture Standards formulated and registered by the Kenya Bureau of Standards in consultation with the Authority and the horticulture industry;

"nursery" means an area for raising and selling horticulture seedlings including tissue culture laboratories, greenhouses, shed nets and open fields;

"nursery seedling operator" means a person who raises horticulture seedling for sale;

"postharvest" means any process that horticultural produce is subjected to from the time the crop is harvested to the time the crop is consumed;

"potable water" means water that has been tested and confirmed to be suitable for use in the processing of horticultural produce;

"produce" means the harvested part of horticultural crop including roots, tubers, fruits, leafy part, berries or cut flowers;

"produce handling facility" means an area where horticultural produce is assembled for purposes of grading, sorting, packaging or storage and includes collection centres, pack houses, cold stores, supermarkets, retail and wholesale markets and grading sheds;

"retail market" means a place where horticultural produce is sold to consumers;

"rootstock" means a plant, including a stump, which already has an established healthy root system onto which a cutting or a bud from another plant is grafted;

"scion" means a detached portion of a plant, a bud or shoot that is intended for grafting or budding to a rootstock; "seedling" means a plant that is sexually or asexually propagated as a vegetative planting material;

"ship chandler" means a person registered and authorized by the Authority to supply horticultural produce to a ship or an aircraft;

"traceability" means the ability to track horticultural produce and process owner from production to the final consumer and vice versa;

"value addition" means the process of capturing and creating value of produce from its original state and includes sorting and grading, packaging, prepacking, canning and freezing;

"vessel" means a carrier which can be used to convey horticultural produce from one point to another and includes a person, vehicle, ship, airplane, bicycle, handcart or motorbike; and "wholesale market" means a place where goods or merchandise are sold to retailers, industrial, commercial, institutional or other large- scale business users.

"Processor" means a natural person or company undertaking mechanical or chemical operations on horticultural produce in order to change form for preservation or value addition

"Product" means a horticultural produce that has undergone mechanical or chemical operations in order to change form for preservation or value addition

3. These Regulations shall apply to all horticultural crops specified in the First Schedule—

Application.

- (a) grown, processed or marketed in Kenya; and
- (b) imported to or exported from Kenya.
- **4.** The object of these Regulations is to promote, develop and regulate the growth of the horticulture industry, to ensure that growers and dealers meet produce quality and food safety standards and to provide for the—

Object of the Regulations.

- (a) organization and coordination of the horticulture industry;
- (b) reduction of duplication and overlap of functions among institutions involved in the regulation of the horticulture industry; 2 The Crops (Horticultural Crops) Regulations (Legal Notice 118 of 2020) Kenya
- (c) registration of nursery operators, grower associations and dealers;
- (d) increased productivity and production of safe and quality horticultural produce;
- (e) safe handling, storage, value addition and efficient distribution of horticultural produce;
- (f) improved market access of horticultural produce; and
- (g) establishment of systems for collection of integrated real time data for the horticulture value chain.

PART II— PRODUCTION AND PRODUCTIVITY

5. (1) A commercial nursery operator shall not procure rootstock, scion or seed from a source that is not approved.

Approved sources of planting materials.

- (2) A person who contravenes sub regulation (1) commits an offence.
- **6.** (1) A person shall not operate a commercial nursery or a mother block for supply to the domestic market unless the person holds a valid certificate of registration from the respective county government.

Obligation to register nurseries and mother blocks.

- (2) A person shall not operate a commercial nursery or mother block for supply to the export market unless the person holds a valid certificate of registration from the Authority.
- (3) A person who contravenes sub regulation (1) or (2) commits an offence.
- 7. (1) A person who intends to operate a commercial nursery or a mother block for supply to the domestic market shall apply for registration to the respective county government in Form 1 set out in the Second Schedule.

Registration of nurseries and mother blocks for domestic markets.

- (2) The county government shall not issue a certificate of registration unless a county crops inspector has visited and inspected the site and has confirmed that the applicant complies with the following requirements—
- (a) sanitation standards are maintained at the propagation area;
- (b) the seedlings are protected from diseases and pests;
- (c) the area is free from organic and inorganic litter;
- (d) there is clear separation of operations, including soil mixing and potting, seedling area, grafting area, hardening area and dispatch;
- (e) the water source is year-round, adequate, of recommended PH and is free from chemical and microbial contamination;
- (f) the planting media used is free from microbial and chemical contamination;

- (g) the nursery layout is secured from animals and other pests;
- (h) the layout has East to West orientation, has a gentle slope and allows for good drainage;
- there are structures in place for the nursery operations including shade nets, polytunnels and insect proof net, a certified laboratory for tissue culture material and a hardening off area;
- (j) the scion, seeds or rootstock are obtained from an approved source;
- (k) the nursery seedlings are labelled with the seedling type, date of planting, grafting or budding where applicable;
- the seedlings ready for dispatch are tagged or labelled appropriately indicating the seedling variety;
- (m) the distribution records of the nursery showing the buyer's name, county, sub county, ward, postal address and varieties purchased are maintained;
- (n) the nursery is located one hundred meters away from the orchard to prevent cross pollination; and
- (o) the operator or the operator's agent has adequate knowledge of nursery management and horticultural techniques in respect of the horticultural crops to be grown.
- (3) The county government shall, if satisfied that the applicant meets the requirements in subregulation (2), issue a certificate of registration in Form 2 set out in the Second Schedule to the applicant in respect of the inspected site.
- (4) A certificate of registration for a commercial nursery or a mother block shall not be transferrable and shall expire at the end of the financial year.
- (5) An application for renewal of registration shall be made to the respective county government at least three months before the expiry date in Form 1 set out in the Second Schedule.
- **8.** (1) A person who intends to operate a commercial nursery or mother block for supply to the export market shall make an application to the Authority in Form 3 set out in the Second Schedule.

Registration of nurseries or mother blocks for export market.

- (2) The Authority shall issue a certificate of registration after a crops inspector has visited and inspected the site and confirmed that it complies with the following minimum requirements—
 - (a) sanitation standards are maintained at the propagation area;
 - (b) the seedlings are protected from diseases and pests;
 - (c) the area is free from organic and inorganic litter;
 - (d) there is clear separation of operations, including soil mixing and potting, seedling area, grafting area, hardening area and dispatch;
 - (e) the water source is year-round, adequate, of recommended PH and is free from chemical and microbial contamination;
 - (f) the planting media used is free from microbial and chemical contamination;
 - (g) the nursery layout is secured from animals and other pests;
 - (h) the layout has East to West orientation, has a gentle slope and allows for good drainage;
 - (i) there are structures in place for the nursery operations including shade nets, polytunnels, insect proof nets, a certified laboratory for tissue culture material and hardening off area;
 - (j) the scion, seeds or rootstock are obtained from an approved source;
 - (k) the nursery seedlings are labelled with the seedling type, the date of planting, grafting or budding, as the case may be;
 - (I) the seedlings ready for dispatch are tagged or labelled appropriately, indicating the seedling variety
 - (m) the distribution records of the nursery showing the buyer's name, county, sub county, ward, postal address and varieties purchased are maintained;
 - (n) the nursery is located 100 meters away from the orchard to prevent cross pollination; and
 - (o) the personnel working in the nursery have basic training in nursery management
- (3) The Authority shall, if satisfied that the applicant meets the requirements in subregulation (2), issue a certificate of registration in Form 4 set out in the Second Schedule to the applicant in respect of the inspected site.

- (4) A certificate of registration issued under this regulation shall not be transferrable and shall expire at the end of the financial year.
- (5) An application for renewal of registration shall be made to the Authority at least three months before the expiry date in Form 3 set out in the Second Schedule.
- **9.** (1) An operator of a commercial nursery or a mother block shall, on request by an inspector, produce the certificate of registration.

Conditions of nursery registration certificate.

- (2) A person who contravenes this regulation commits an offence
- **10.** The Authority or county government may revoke a certificate of registration issued to a nursery or a mother block operator if that operator contravenes the provisions of the Act, any regulations issued under the Act or any conditions specified in the certificate.

Revocation of certificate of nursery or mother block registration.

11. (1) A nursery operator shall not sell planting materials that have not been certified by the Kenya Plant Health Inspectorate Service.

Certification of planting materials.

- (2) A person who contravenes this regulation commits an offence.
- **12.** (1) A commercial nursery operator who is registered by the Authority or county government shall keep records of distributed planting materials and shall produce the records on demand for inspection.

Nursery records and returns.

- (2) The records referred to in subregulation (1) shall indicate the varieties purchased and the buyer's name, county, sub-county, ward and postal address.
- (3) A nursery operator shall submit annual statistical returns to the Authority Form 5 set out in the Second Schedule.
- (4) A person who contravenes sub regulation (1) or (3) commits an offence.

13. (1) A grower/dealer association shall apply to the Authority for registration in Form 6 as set out in the Second Schedule

Registration of grower/dealer associations.

- (2) The application made under sub-regulation (1) shall be accompanied by—
 - (a) a certificate of registration;
 - (b) a list of the association's officials;
 - (c) a list of the registered members;
 - (d) a list of horticultural crops grown by the members;
 - (e) Subscribe to the Industry Code of conduct for associations
- (2) No fee shall be charged for the registration of grower associations.
- (3) The Authority shall, if satisfied that the applicant meets the requirements for registration, enter the name and particulars of the association in the register and issue a certificate of registration, renewable annually.
- (4) An association that contravenes the Crops Act, 2013, Horticulture Regulations, Associations Code of Conduct, and any other reason that the Authority may consider as misconduct shall be de-registered as an industry association
- **14.** (1) A person who intends to deal in horticultural crops in the domestic market shall make an application to the Authority in Form 7 set out in the Second Schedule.

Registration of dealers in the domestic market

- (2) If the Authority is satisfied that the applicant meets the registration requirements, the Authority shall issue a certificate of registration to the applicant.
- (3) A certificate of registration issued under this regulation shall be in Form 8 set out in the Second Schedule.
- (4) A dealer shall conspicuously display the certificate issued under subregulation (3) at his place of business.

- (5) A certificate of registration issued under this regulation shall not be transferrable shall be valid until the thirtieth of June next following the date of issue.
- (6) An application for renewal of registration shall be made to the Authority at least one (1) month before the expiry date in Form 7 set out in the Second Schedule.
- **15.** (1) A clearing agent who intends to clear horticultural produce shall apply to the Authority for registration in Form 10 set out in the Second Schedule.

Registration of horticultural produce Clearing and Forwarding Agents

- (2) The application under sub regulation (1) shall be accompanied by—
 - (a) the fee set out in the Fifth Schedule
 - (b) a copy of the certificate of incorporation;
 - (c) a copy of the customs license;
 - (d) a copy of the Kenya Revenue Authority PIN certificate;
 - (e) a copy of the certificate from the Kenya International Freight and Warehouse Association of Kenya;
 - a contract or an agreement between the clearing and forwarding agent and the exporter;
 - (g) two coloured passport photos for a processing clerk;
 - (h) a copy of the national identification card for the processing clerk; and
 - (i) a copy of a certificate of good conduct from the National Police Service for the processing clerk.
- (3) The Authority shall, if satisfied that the application meets the registration requirements, enter the name of the applicant in the register and issue the applicant with a certificate in Form 11 set out in the Second Schedule subject to such terms and conditions it deems necessary.
- (4) Clearing and Forwarding Agents (C&FA) shall not be licensed as produce/product importers and exporters
- **16.** (1) A person who intends to process horticultural produce shall apply to the Authority on the online
- (1) Registration of processors

platform, for a horticultural processing licence in Form set out in the Second Schedule.

of horticultural produce

- (2) The application shall be accompanied by—
 - (a) a copy of the certificate of incorporation, for local companies;
 - (b) a copy of the certificate of compliance for a branch of a foreign company;
 - (c) the Kenya Revenue Authority PIN certificate for the company;
 - (d) a valid tax compliance certificate from the Kenya Revenue Authority;
 - (e) a copy of a valid business permit;
 - (f) copies of the identity cards or passports of the directors;
 - (g) copies of a passport and valid work permit of the directors, if they are foreigners;
 - (h) the registration fee set out in the Third Schedule;
 - (i) produce traceability records;
 - (j) contracts from contracted produce sources;and
 - (k) documents indicating that the applicant operates from a registered packing facility.
- (3) The Authority shall, upon receipt of the application—
 - Inspect the processing facility and issue the applicant with an inspection report on their compliance to food safety standards;
 - (b) Inspect the transport vessel used for transporting produce and issue the applicant with a transport inspection report, if the vessel has complied with food transportation standards.
- (4) The Authority shall, if satisfied with the application, enter the name of the processor in the register and issue the applicant with a horticulture processing licence, subject to such terms and conditions it deems necessary.
- (5) If the Authority declines to grant a processing licence, it shall inform the applicant of same in writing, giving reasons thereof.

- (6)A license issued under this regulation shall not be transferrable and shall be valid from first July to thirtieth of June of the following calendar year unless earlier cancelled.
- (7)An application for renewal of a processing licence made thirty days before the expiry of a processing licence and shall be in Formset out in the Schedule shall be accompanied by—
 - (a) certified copy of business trading permit from the respective county government;
 - (b) valid tax compliance certificate from the Kenya Revenue Authority;
 - (c) farm and processing facility inspection report from the Authority;
 - (d) annual marketing and production returns for the previous year and season;
 - (e) records of produce traceability;
 - (f) contracts from contracted produce sources; and
 - (g) documents indicating that the applicant operates from a registered packing facility.
- (8) A person who processes horticultural produce without a valid processing licence or contravenes clause (16) of these regulations commits an offence and shall be liable on conviction to a fine not exceeding two hundred thousand shillings or imprisonment for a period not exceeding six months or both

Part III – QUALITY ASSURANCE AND MARKETING

- **17.** (1) The Authority shall, in collaboration with relevant Government agencies and county governments, ensure that horticultural crop inputs and produce conform to these Regulations, national, regional and international horticulture standards.
 - (2) Every county government shall facilitate the development of market infrastructure to support the implementation of national standards.

Monitoring of quality standards.

- **18.** (1) A person shall not use water, planting media or fertilizer that contains microbial or chemical contaminants for production of horticultural crops.
- Safe production and handling of horticultural produce.
- (2) Water used for postharvest and processing of horticultural produce shall be of potable quality.
- (3) Every county government shall ensure that all collection centres, retail and wholesale markets, food stores and pack houses are provided with sanitary facilities.
- (4) A person who contravenes sub-regulation (1) or (2) commits an offence.
- **19.** (1) Horticultural produce shall be harvested at the right stage of maturity for the intended use as provided in schedule

Produce handling and grading at the farm

- (2) Harvested produce shall be handled by personnel with skills and knowledge on food safety.
- (3) Horticultural produce shall be harvested in the morning or evening or during cool parts of the day and kept under a shade.
- (4) Harvested produce shall be protected from dust and other contaminants.
- (5) Appropriate harvesting equipment and containers shall be used for harvesting horticultural produce.
- (6) Horticultural produce shall be harvested using clean crates or clean buckets and transported using clean crates
- (7) Horticultural produce shall be sorted and graded as appropriate and produce unfit for the market shall be separated from marketable produce at the field level.
- (8) A collection shed shall be constructed for receiving produce at the field level.
- (9) A collection shed shall—

- (a) be accessible to both growers and dealers;
- (b) be designed to allow for a store, working area and shade;
- (c) have floors, doors, wall surfaces made with materials which are easy to clean and disinfect;
- (d) be constructed to allow for adequate ventilation, lighting, effective produce inspection and made of acceptable materials;
- (e) have potable water and adequate sanitation facilities;
- (f) have waste disposal facilities;
- (g) have clear documentation procedures and document control systems; and
- (h) have traceability details of any produce that is collected.
- (10) A person who contravenes sub regulation (1), (2), (4), (6), (8) or (9) commits an offence.
- **20.** (1) The processing and packaging of horticultural produce shall be carried out under clean, hygienic and safe conditions as set out in the National Horticulture Standards and the Public Health Act (Cap. 242).

Minimum conditions for Produce Handling Facilities

- (2) The working areas and premises of a produce handling facility shall be kept free of waste materials.
- (3) Any person who is within a produce handling facility shall wear protective clothing at all times.
- (4) Any hand used equipment or grading table shall be rust proof and easy to clean.
- (5) The floor layout for a produce handling facility shall allow for smooth flow of produce with adequate separation of raw materials and finished products.
- (6) The floors, doors and wall surfaces of a produce handling facility shall be made of impervious, non-absorbent, non-toxic washable material which is easy to clean and disinfect.

- (7) A produce handling facility shall have adequate ventilation, temperature control and lighting.
- (8) A produce handling facility shall be designed and constructed to prevent entry of dust, domestic animals, rodents, insects, birds, or any other unwanted animals.
- (9) A person shall not smoke, eat or drink in a produce handling facility and appropriate signs shall be displayed in the facility to that effect.
- (10) Packaging materials shall be kept off the floor in a clean dry storage area that is free from the risk of contamination.
- (11) Horticultural produce shall move through the facility operation in the order that it is received, first in first out.
- (12) The traceability of horticultural produce shall be documented throughout the process chain.
- (13) The Authority shall inspect the Horticultural Produce Handling Facilities for annual registration and conduct impromptu inspections for compliance to standards.
- (14) Any person operating a registered Horticultural Produce Handling Facilities shall not obstruct scheduled or impromptu inspections by AFA inspectors.
- (15) A person who contravenes any provision in this regulation commits an offence. They produce handling certificate shall be revoked in addition to other applicable penalties.

- **21.** (1) The packaging material for horticultural produce shall be designed to suit the transport handling system and shall meet market requirements.
- Requirements for packaging, transportation and storage of horticultural produce and products
- (2) The package weight of horticultural produce shall not exceed fifty kilogrammes.
- (3) The packaging material used for horticultural produce shall have the capacity to contain the produce, enabling the required quantity to be handled as oneunit up to the targeted destination without collapsing.
- (4) Horticulture produce shall be transported to and received at the pack house facility in crates or cartons as appropriate
- (5) Horticultural produce shall, during storage and transportation, be kept at the temperature and humidity levels prescribed in the specific commodity standards for that produce.
- (6) Horticultural produce shall not be stored or transported together with other produce which may contaminate them or otherwise adversely affect their quality.
- (7) A vessel for transportation of horticultural produce shall be built and equipped to ensure maintenance of optimal temperatures and hygiene and to prevent damage, contamination and spoilage of produce.
- (8) Horticultural produce offered for export shall conform to the national labelling and packaging standards as provided for in the ------ standard
- (9) Every packaging material for horticultural produce for the export market shall be branded as "produce of Kenya" using the national logo prescribed by the national institution responsible for branding.

- (10) A person handling horticultural produce shall—
 - (a) after every six months, undergo a medical test and be issued with a medical certificate; and be trained on produce handling.
 - (b) Be trained on produce handling
 - (c) Dealers transporting or receiving horticultural produce not transported in crates as prescribed shall have their dealership licenses suspended or revoked
- (11) A person who contravenes any provision in this regulation commits an offence.
- **22.** (1) A grower shall use only the pest control products registered by Pest Control Product Board in accordance with the label instructions.

Safe use of pesticides

- (2) A grower shall maintain all records of pest control products used and avail the records to a crops inspector on demand.
- (3) The minimum records kept by a grower for traceability shall include—
 - (a) the name of the crop previously grown in the same field;
 - (b) the type of seed used;
 - (c) seed dressing product used;
 - (d) date of planting;
 - (e) pest and disease records;
 - (f) pest product used in spraying;
 - (g) method of application of pest control products;
 - (h) weather conditions during the application of pest control products;
 - (i) date and rate of application of pest control products;
 - (j) irrigation frequency and dates of irrigation;
 - (k) harvesting dates and weather conditions during harvesting;
 - (I) the spray operator and equipment used; and
 - (m) sprayer and equipment calibration records.
 - (n) A person shall not use post-harvest treatment products that are not registered by the Pest Control Product Board.

- (4)A person who contravenes any provision of this regulation commits an offence.
- **23.** (1) A dealer in horticultural produce shall declare to the Authority the source of his produce—

Traceability of produce

- (a) in the case of a farm owned by the dealer, in Form 11 set out in the Second Schedule:
- (b) in the case of produce sourced from contracted growers, in Form 12 set out in the Second Schedule; or
- (c) in the case of produce obtained from retail or wholesale markets, in Form 13 set out in the Second Schedule.
- (2)A dealer growing, sourcing, transporting, storing, processing, exporting horticultural produce shall adopt the National Horticulture Traceability System or an equivalent traceability system as may be approved by the Authority
- (3)A dealer in horticultural produce shall not deal with marketing agents who are not registered with the Authority.
- (4)A dealer in horticultural produce shall put in place a quality management system for withdrawal and recall of produce to address food safety concerns with potential to harm human health.
- (5)A person who contravenes any provision in this regulation commits an offence.
- **24.** (1) A grower who intends to engage in contract farming shall sign a contract with the dealer.

Contract farming

- (2)A dealer shall not—
 - (a) sponsor the growing of a horticultural crop for trading without entering into a contract with the grower witnessed by the County Government and the Authority.
 - (b) collect produce from a sponsored production scheme unless authorized in writing to do so by the sponsoring firm;

- (c) return horticultural produce collected from a grower contrary to the contract; or
- (d) impose contractual terms on the grower that are contrary to the general provisions of the horticultural code of conduct.

(3) A grower shall;

- (a) Ensure that they have produce supply contract with the dealer
- (b) Ensure that they don't sell produce to a dealer they are not contracted to
- (4) A person who contravenes sub-regulation (2) and (3) commits an offence and shall be liable on conviction to a fine not exceeding fifty thousand shillings or imprisonment for a period not exceeding six months or both.
- **25.** (1) There shall be an industry pricing committee to determine pricing formulae comprising of horticultural produce;

Pricing mechanis m

- (a) Two (2) grower representatives
- (b) An exporter representative.
- (c) A representative of the Horticultural Produce Marketing Agents (HPMA).
- (d) A representative from processors.
- (e) A representative for the Principal Secretary from the Ministry of Agriculture and Livestock Development.
- (f) A representative from the State Department of Trade.
- (g) A representative from the Council of Governors.
- (h) A representative of the Authority.
- (2) The committee shall be chaired by the appointee from the Ministry of Agriculture and Livestock Development.
- (3) The Authority shall provide the secretariat of the Committee.
- (4) The committee may whenever necessarily co-opt experts to provide technical advice.

- (5) The tenure of the Chairperson, growers, exporters and processors shall be non-renewable term of three (3) years.
- (6) The objectives of the committee shall be:
 - (a) Review commodity prices from time to time as guided by market dynamics.
 - (b) Recommend minimum farm gate prices for commodities.
 - (c) Make recommendations for any other commodity and related services pricing requirements
- **26.** (1) Produce in collection centres, stores, pack houses, markets, warehouses may be randomly sampled and tested by the Authority in collaboration with county government and relevant agencies from time to time to ensure conformity with food safety and quality requirements.

Enforcement of food safety quality standards

- (2) A dealer shall not operate without a compliance certificate in Form 14 set out in the Second Schedule.
- (3) A dealer shall not be issued with a compliance certificate unless a Crops inspector—
 - (a) has visited and inspected the farm and confirmed that it complies with food safety and quality standards; and
 - (b) is satisfied that the dealer has adequate knowledge of national, regional and international market requirements.
- **27.** Horticultural produce that does not conform to the set national and international standards and has been declared so by the Authority shall—

Consequence s of noncompliance with standards

- (a) in the case of produce that does not comply with food safety standards, be seized, detained or destroyed at the cost of the offender; and
- (b) in the case of produce presented using forged export documents or exported using forged phytosanitary certificate, export certificate or any compliance certificate, be

destroyed upon interception and the offender suspended from export dealership for one year.

28. (1) A person who intends to be registered as an importer of horticultural produce shall apply to the Authority for a horticultural produce import licence in Form 15 set out in the Second Schedule.

Import Licence

- (2) The application under subregulation (1) shall be accompanied by—
 - (a) a copy of the certificate of incorporation, for local companies;
 - (b) a copy of the certificate of compliance for a branch of a foreign company;
 - (c) Kenya Revenue Authority PIN certificate for the company;
 - (d) a valid tax compliance certificate from the Kenya Revenue Authority;
 - (e) a copy of a valid business permit;
 - (f) copies of the identity cards or passports of the directors;
 - (g) copies of a passport and valid work permit of the directors, if they are foreigners;
 - (h) the fee set out in the Third Schedule.
 - (i) Have a registered pack facility/store/warehouse
- (2) The Authority may require the personal attendance of the applicant or an authorized representative of the applicant for interview before granting a licence.
- (3) If the Authority is satisfied that the applicant has satisfied the requirements for registration, the Authority shall issue the applicant with an import licence in Form 16 set out in the Second Schedule.
- (4) If the Authority refuses to grant an import licence, it shall inform the applicant of such refusal in writing, giving reasons thereof.
- (5)A licence issued under this regulation shall be valid from first July up to thirtieth of June of the following calendar year unless earlier cancelled.

- (6)An import licence shall not be transferrable.
- (7)A person who imports horticultural produce without a valid horticultural crop import licence commits an offence
- **29.** (1) A registered importer who intends to import a consignment of horticultural produce shall apply to the Authority for a horticultural produce import certificate in Form 17 set out in the Second Schedule.

Import certificate

- (2) The application under sub-regulation (1) shall be accompanied by—
 - (a) copies of importation and customs entry documentation;
 - (b) the import permit for shipment of the specific consignment;
 - (c) evidence of payment of duties and levies;
 - (d) a certificate of origin and contact details of the exporters and importers from whom the consignment was procured; and
 - (e) the fee set out in the Third Schedule.
 - (f) The Authority shall, on receipt of the application, analyse samples of the consignment in respect of which the application is made at the port of entry.
- (3) If the Authority is satisfied that the consignment of horticultural produce meets the import requirements, the Authority shall issue the applicant with an import certificate
- (4) Any consignment of horticultural produce that is smuggled or does not conform to the food safety and quality standards shall be seized, detained and disposed of or destroyed in an appropriate manner by the Authority at the cost of the offender.
- (1) A person who contravenes regulation (1) commits an offence and shall be liable on conviction to a fine not exceeding three hundred thousand shillings or imprisonment for a period not exceeding one year, or both.

30. (1) A person who intends to be registered as an exporter of horticultural produce shall apply to the Authority for a horticultural produce export licence in Form 19 set out in the Second Schedule.

Export licence

- (2) The application under sub-regulation (1) shall be accompanied by—
 - (a) a copy of the certificate of incorporation, for local companies;
 - (b) a copy of the certificate of compliance for a branch of a foreign company;
 - (c) the Kenya Revenue Authority PIN certificate for the company;
 - (d) a valid tax compliance certificate from the Kenya Revenue Authority;
 - (e) a copy of a valid business permit;
 - (f) copies of the identity cards or passports of the directors;
 - (g) copies of a passport and valid work permit of the directors, if they are foreigners;
 - (h) the registration fee set out in the Third Schedule;
 - (i) produce traceability records;
 - (j) contracts from contracted produce sources; and
 - (k) documents indicating that the applicant operates from a registered packing facility.
- (3) The Authority shall, upon receipt of the application—
 - inspect the farm where the horticultural produce is grown and issue the applicant with a farm inspection report, if the inspected farm complies with good agricultural practices;
 - (b) inspect the pack house facility and issue the applicant with a pack house inspection report and enter the pack house in the register, if the facility has complied with food safety requirements; and
 - (c) inspect the transport vessel used for transporting produce and issue the applicant with a transport inspection report, if the vessel has complied with food transportation standards.

- (4) The Authority may require the personal attendance of the applicant or an authorized representative of the applicant for an interview before granting a license.
- (5) The Authority shall, if satisfied with the application, enter the name of the exporter in the register and issue the applicant with an export licence in Form 20 set out in the Second Schedule subject to such terms and conditions it deems necessary.
- (6) If the Authority refuses to grant an export licence, it shall inform the applicant of such refusal in writing, giving reasons thereof.
- (7)A license issued under this regulation shall not be transferrable and shall be valid from first July to thirtieth of June of the following calendar year unless earlier cancelled.
- (8) An application for renewal of an export licence made thirty days before the expiry of an export licence and shall be in Form 19 set out in the Second Schedule shall be accompanied by—
 - (a) certified copy of business trading permit from the respective county government;
 - (b) valid tax compliance certificate from the Kenya Revenue Authority;
 - (c) farm and pack house inspection report from the Authority;
 - (d) annual marketing and production returns for the previous year and season;
 - (e) records of produce traceability;
 - (f) contracts from contracted produce sources; and
 - (g) documents indicating that the applicant operates from a registered packing facility.
- (9) A person who exports horticultural produce without a valid horticultural crop export licence commits an offence.

31. (1) A person who intends to export a consignment of horticultural produce shall apply to the Authority for an export certificate in Form 21 set out in the Second Schedule.

Export certificate

- (2) The application in sub regulation (1) shall be accompanied by—
 - (a) a copy of the horticultural produce export license;
 - (b) a copy of the consignment invoice certified by Kenya Plant Health Inspectorate Service indicating
 - i. the product name;
 - ii. the destination of the product;
 - iii. the consignee duly registered by the Authority;
 - iv. the vessel details:
 - v. the unit value of the produce, indicating the currency; and
 - vi. the weight of each product being exported in Kilograms; and
 - vii. a maturity inspection report for mangoes and avocadoes.
- (3) If the Authority is satisfied that the consignment of horticultural produce meets the export requirements, the Authority shall issue the applicant with an export certificate in Form 22 set out in the Second Schedule.
- (4) A person who exports horticultural produce from Kenya without an export certificate commits an offence and shall be liable on conviction to a fine not exceeding three hundred thousand shillings or imprisonment for a period not exceeding one year, or both.
- **32.** (1) A registered exporter or importer of horticultural produce shall maintain accurate records of transactions relating to horticultural produce and shall submit quarterly returns to the Authority in Form 23 set out in the Second schedule.

Import and export returns

- (2) A person who contravenes subregulation (1) commits an offence.
- **33.** (1) An applicant for or a holder of a licence or certificate who is aggrieved by a decision of the

Appeals

Authority on or in respect of—

- (a) the grant, refusal, renewal, variation or revocation; or
- (b) the conditions imposed on the grant, renewal or variation, of a licence, may appeal to the Cabinet Secretary.
- (2) An appeal under this regulation shall be lodged within thirty days from the date on which the appellant first received notice of the decision.

Part IV - MISCELLANEOUS PROVISIONS

34. The applicable fees for services rendered under these Regulations shall be as set out in the Third Schedule.

Fees

35. (1) There shall be a levy, based on the customs value:

Levies

- (a) imposed on all horticultural crops and avocado oil destined for export at the rate of 0.25 per cent of the customs value.
- (b) Finished /value added products: canned, bottled, preserved, dehydrated shall be exempted from the levy
- (2) There shall be a levy imposed on all horticultural crops—
 - (a) imported as finished products at the rate of four percent of the import value; and
 - (b) imported as fresh products or raw materials at a rate of two percent of the import value.
- (3) The levy due under subregulation (3) shall be remitted to the Authority not later than the tenth day of the month following the month during which the levy was due.
- (4) Any levy imposed under this regulation which remains unpaid shall be recovered by the Authority as a civil debt due to it from the person by whom it is payable.

- (5) A person who fails to remit any levy on time as provided under these regulations shall, where directed by the Authority in writing, in addition to paying the levy—
 - (a) pay an interest of twenty-five percent for the first month or part of the month in which the levy remains unpaid; and
 - (b) pay twelve percent compound interest for each subsequent month or part of the month in which the levy remains unpaid.
- (6) The interest rate set out in subregulation (2) shall be payable on a monthly basis.
- (7) The levy imposed under paragraph (1) and (2) shall be used for the operations of the Authority and development of the industry.
- **36.** (1) The Authority may alter, suspend or revoke a license or certificate issued under these Regulations if in its opinion the Act, these Regulations or a condition of the licence has been contravened.

Alteration, suspension and revocation of licences or certificates

- (2) The Authority shall, before altering, suspending or revoking a license, give the person a fourteen days' notice to make representations.
- General penalty
- **37.** A person who contravenes the provisions of these Regulations for which no specific penalty is provided commits an offence and is liable, on conviction, to a fine not exceeding fifty thousand shillings or to imprisonment for a term not exceeding six months or both.

Transitional provisions

38. Any certificate or license issued before these Regulations come into operation shall remain valid until expiry, and subsequent certificates or license shall be issued under these Regulations.

Revocation L.N No. 190 of 2011

39. The Horticultural Crops Development Authority Order, 2011 is revoked.

FIRST SCHEDULE [r. 2, 3] HORTICULTURAL

FRUIT TREES

Strawberries

Common Name Botanical Name

Apple *Pyrus malus L.*Apricot *Prunus armeniaca*

Avocado Persea spp
Bananas and plantains Musa sp
Berries Rubus sp

Bread fruit
Cape Gooseberry
Physalis peruviana
Carambola
Cherimoya
Chinese pear
Pyrus prunifolia
Citrus
Artocarpus altilis
Physalis peruviana
Averrhoa carambola
Annonacherimola
Pyrus prunifolia
All citrus species

Custard apple Annona sp.

Date palm Phoenix dactylifera

Dragon Fruit Selenicereus spp (syn.hylocereus spp)

Goose berries All species
Grapes` Viti ssp

Guava Psidium guajava

Jackfruit Artocarpus heterophyllus

Litchi Litchi Litchi chinensis
Litchi Nephelium litchi
Loquat Eriobotrya caponica
Mango Mangifera indica
Melons` Cucumis melo

Mountain Pawpaw Carica candanacensis

Mulberries Morus sp. **Nectarine** Prunus sp. L. Passion fruit Passiflora spp Pawpaw... Carica papaya Peach Prunus persica L. Pear Pyrus communis L. **Pineapple** Ananas comosus Plum Prunus sppL **Pomagranate** Punica granatum Quince Cydonia oblonga

Sweetsop (Sugar apple)

Annona squamosa

Tree tomato

Solanum betaceum

Fragaria spp

Water melon ` Citrullus lanatus White sapote Casimiroa edulis

Any other fruit of economic value

A. MEDICINAL AND AROMATIC PLANTS

Common Name Botanical Name

Aloe Aloe vera

Artemesia Artemesia annua Salvia Salvia Salvia Salvia

All other medicinal and aromatic plants of commercial value

B. VEGETABLES

Common name Botanical Name

Amaranth Amaranthus spp.

Artichoke Cynara cardunculus var. scolymus

Jerusalem artichokes Helianthus tuberosus
Asparagus Asparagus officinalis

Beet root Beta vulgaris

Broccoli/cauliflower

Brussels sprouts

Cabbage

Brassica oleracea var. botrytis

Brassica oleracea var. gemnifera

Brassica oleracea var. capitata

Carrot

Celery / Celeriac

Chervil

Chicory

Chillies

Chinese cabbage

Daucus carota

Apium graveolens

Anthricus cerefolium

Cichorium intybus

Capsicum spp

Brassica chinensis

Cluster bean (green) Cyamopsis tetragonoloba
Collards / Kale. Brassica oleracea var ancephala

Coriander Coriandrum sativa
Cowpeas (leafy) chora Vigna unguiculata
Cucumber Cucumis sativa

Dill Arethum graveolens

Dioscorea *Dioscorea sp*

Dudhi (kaddu Lagenaria siceraria

Edible Mushroom

Agaricus species

Eggplants

Solanum melongena

Cichorium endivia

French beans

Garden cress

Garden pea

Green maize

Agaricus species

Solanum melongena

Cichorium endivia

Phaseolus valgaris

Lespidium sativa

Pisium sativum

Zea mays

Horseradish Armoracia rusticania
Karella Mormodica charantia

Kohlrabi Brassica oleracea var. gongylodes

LeekAllium porrumLettuceLactuca sativaLoofahLuffa cylindricalNew Zealand spinachTetragonia expansaOkraHibiscus esculentus

Onion/ garlic Allium cepa

Parsley
Parsnip
Parsnip
Pea
Pea
Pisum sativum
Pepper
Pumpkin/Squash/Courgette
Pumpkins
Petroselinum crispum
Pastina casativa
Pisum sativum
Capsicum spp.
Cucurbita pepo
Cucurbita sp.
Radish
Raphanus sativus

Radish Raphanus sativus
Rhubarb Rheum rhaponticum
Runner bean. Phaseolus coccineus
Rutabaga Brassica napobrassica

Snow peas. Pisium sativum var.saccharatum

Spinach Spinacea oleracea
Sugar beet Beta vulgaris
Sugar snaps. Pisium sativum
Swiss chard Beta vulgaris

Tomato Solanum lycopersicum

Turnip Brassica rapa

Watercress Nasturtium officinale

Any other vegetables of economic value

FLOWERS AND ORNAMENTAL PLANTS

Common name Botanical Name

Agapanthus/African Lily

Agapanthus spp, A.umbellatus

Alstromeria/Peruvian Lily

Alstroemeria Aurantiaca

Amaranthus Amaranthus 1407 peg (Amaranthus

acanthochoiton) Ammi majus Ammi visnaga

Ammi/White Dill/Bishops Flower Ammi visnaga Anthuriums/Flamingo Flower Anthurium Sp

Ammi/White Dill/Bishops Flower

Arabicum Ornithogalum saundersiae

Asparagus Fern Asparagus 1407 peg (Asparagus aethiopicus)

Asters/Michaelmas Daisy
Aster Sunhelence, Aster Novi-belgii
Astrantia
Astrantia 1407 peg (Astrantia major)
Begonia
Bupleurum
Bupleurum rotundifolium "graffiti"
Callistaphus
Callistaphus

chinensis)

Carnations Dianthus caryophyllus
Carthamus/Safflower/Saffon Carthamus tinctoris

Celosia *Celosia 1407 peg. (Celosia cristata)*Chrysan. Cuttings/Pot Mum
Dendrathema 1407 peg1407flora /

Chrysanthemum sp

Craspedia Craspedia 1407 peg (Craspedia uniflora)
Crocosmia Crocosmia 1407 peg (Crocosmia aurea)
Delphinium/Larkspur Delphinium 1407 peg (Delphinium elatum)

Dianthus Dianthus 1407 peg (Dianthus caryophyllous)
Dianthus Dianthusa 1407 peg (Dianthusa

caryophyllous)

Dill Anethum 1407 peg (Anethum graveolens)

Easter Lily Lilium Longiflorum

Echinops Echinops 1407 peg (Echinops ritro)

Eryngium/Sea Holly/Alphine Eryngium Sp

Eucalyptus *Eucalyptus L'H'er eg (Eucalyptus oblqua)*Freesia *Freesia 1407 peg (Freesia refracta)*

Gerbera/Transvaal Daisy Gerbera Hybrids
Gladiolus/Sword Lily Gladiolus hybrids

Grass Cortaderia Sp and Zoysia Spp

Gypsophilla/Baby Breath Species Gypsophila paniculata

Helianthus Helianthus annus

Heliconia *Heliconia 1407 peg Heliconia psittacorum*Hypericum *Hypericum 1407 peg (Hypericum perforatum)*

Lavender Lavandula spp

Leather Leaves(L) Fern Rumohra adiantiformis Lisianthus/Prairie Gentian/Wildflower Eustoma grandiflorum

Longiflora

Million Stars

Mobbydick

Gypsophila paniculata

Gomphocarpus 1408 peg
Gomphocarpus physocarpa

and Gomphocarpus

fruticosus

Molucella/Bells of Ireland Molucella leavis

Montbretia Crosmia 1408 peg (Crosmia x crosmifllora)

Orchids Cymbidium hybriden
Ornithogalum/Star Of Bethlehem Ornithogalum Thyrsoides

Panicum 1408 peg (Panicum virgatum)

Papyrus Papyrus eq (Cyperus papyrus)

Pelargonium Cuttings Pelargonium SP eg (Pelargonium cucullatum)

Pennisetum Pennisetum 1408 peg (Pennisetum

polystachion)

Phlox Phlox S peg (Phlox paniculata)

Phormium 1408 peg (Phormium tenax)
Ranunculus Ranunculus Sp (Ranunculus asiaticus)

Roses Rosa spp

Rudbeckia/Coneflower *Echinacea Purpurea*Ruscus *Ruscus aculeatus*

Saundersisiae Ornithogalum saundersiae

Scabiosa Scabiosa 1408 peg (Scabiosa caucasica)

Setaria Setaria 1408 peg (Setaria virdis)

Solidago/Garden Rod Solidago gardensis
Solidaster/Garden Plant Solidaster luteus
Statice/Sea Lavender Limonium spp

Strelitzia and hybrids Strelitzia 1408 peg (Strelitzia reginae)

Sunflower
Trachelium
Tuberose
Veronica
Zantedeschia/Calla Lily/Arum Lily
Helianthus annus
Trachelium caeruleum
Polianthes tuberosa
Veronica Spicata
Zantedeschia Sp

And any other flower and ornamental plants of economic value

SECOND SCHEDULE FORMS

| Forr | (r. 7(1), (5)) | | | | | |
|---|--|------------------|-------------------------------------|--|--|--|
| AF | APPLICATION FOR REGISTRATION OF HORTICULTURAL CROPS NURSERY OR | | | | | |
| M | MOTHER BLOCK COUNTY GOVERNMENT | | | | | |
| OF | = | | | | | |
| (To Ł | oe filled in triplicate) | | | | | |
| | 1. Full name of Applicant | | | | | |
| 3. | PINHuduma No Postal AddressTelEmail | | | | | |
| 4. | CountySub CountyWard | | | | | |
| 5. | L.R. No | | | | | |
| 6. | Water source: Rivers/Dam/Boreholes, others (specify) | | | | | |
| 7. Type of material applied for to be produced: | | | | | | |
| | Crop/variety | No. of Seedlings | Source of Seed/ rootstock and scion | | | |
| | | | | | | |
| | | | | | | |

I confirm that I shall abide by the regulations and ensure that all planting material has been inspected and approved by County crops

| | inspectors. | | | | |
|------|---|--|--|--|--|
| | Applicant's signature | | | | |
| | Date | | | | |
| PART | RT II | | | | |
| | | | | | |
| | FOR OFFICIAL USE: | | | | |
| | County crops Inspector Remarks | | | | |
| | Recommended/Not recommended | | | | |
| | County Crop Inspector nameSignature | | | | |
| | Date | | | | |
| | Approved/Not Approved by: County Executive Committee in charge of Agriculture | | | | |
| | NameDateDate | | | | |

CONDITIONS

- 1. Application for registration should be sent to the County where the nursery/mother block is located
- 2. This form shall be accompanied by the prescribed fees and submitted to the County government.

Form 2 r. 7(3)

| | ERTIFICATE OF REGISTRA OCK | ATION OF HORTICULTURAL NU | RSERY/MOTHER | | | | |
|----|--|-----------------------------|---|--|--|--|--|
| CC | DUNTY GOVERNMENT (|)F | | | | | |
| 1. | Name of certificate holder | | | | | | |
| 2. | P.O. Box of | | | | | | |
| | Is/are registered to operate an Horticultural nursery for the year | | | | | | |
| 4. | Located at County Sub-county Ward | | | | | | |
| 5. | L.R. No GPS coordinates Altitude Northing Eastings | | | | | | |
| 6. | The following planting materials will be produced | | | | | | |
| | Crop Type/ variety | approximate No of seedlings | Remarks (condition of seeds/ seedlings) | | | | |
| | | | | | | | |
| | | | | | | | |
| | Registration No. | | | | | | |
| | | | | | | | |
| | Signed | | | | | | |
| | Date: | | | | | | |
| | (County Executive Committee member in | | | | | | |
| | charge of Agriculture) Conditions | | | | | | |
| | | | | | | | |

- 1. This certificate is valid for 1 year from date of issue
- 2. This certificate is not transferable to any other person or nursery site
- 3. All seed, rootstock or scion must be from approved source
- 4. No sale of planting material before certification by the National Plant Protection Organization.

- 5. The application for renewal of registration should be sent to the County where the nursery/mother block is located so as to reach at least 3 months before expiry date.
- 6. All certified materials which cannot be sold during the certification season will be subjected to re- certification before redistribution.

7. A copy of the certificate shall be sent to the Authority within 15 days of registration by the respective County government.

Form 3 (r. 8(1), (5))

THE AGRICULTURE AND FOOD AUTHORITY

APPLICATION FOR OPERATION OF NURSERY OR MOTHER BLOCK FOR SUPPLY TO THE EXPORT MARKET

| 1 | (To | he | filled | in | trin | licate) |) |
|---|-----|---------------|--------|-----|------|---------|---|
| ı | , 0 | \mathcal{L} | mincu | ,,, | CIIP | ncate, | |

| 1. | Full name of Applicant | | | | | |
|------|--|----------------------|-----------------|---------|--|--|
| 2. | PIN ID NoHuduma number | | | | | |
| 3. | Postal Address Tel Email | | | | | |
| 4. | CountrySub | CountyWa | rd | | | |
| 5. | L.R. NoGPS | coordinates-Altitude | N/S | E | | |
| 6. | Water source: Rive | rs/Dam/Boreholes, o | others(specify) | | | |
| 7. | Type of material to | be exported: | | | | |
| | | | | | | |
| | Crop/variety | No. of Seedlings | Source of Seed | Remarks | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | I confirm that I sha planting material ha | | | | | |
| | Applicant's signature Date | | | | | |
| PART | II | | | | | |

| FOR OFFICIAL USE: | | | | | | |
|---|--|--|--|--|--|--|
| Recommended | Recommended/Not recommended | | | | | |
| County Crop Inspector nameSignatureDateDate | | | | | | |
| Remarks | | | | | | |
| Checked by: | Checked by: | | | | | |
| Authorized | Authorized Officer NameSignatureDateDate | | | | | |
| Approved/Not Approved by: | | | | | | |
| Director | General | | | | | |

Form 4 (r. 8(3))

THE AGRICULTURE AND FOOD AUTHORITY

CERTIFICATE OF REGISTRATION FOR NURSERY OR MOTHER BLOCK FOR SUPPLY TO THE EXPORT MARKET

| (To be filled in triplicate) | | | | | |
|------------------------------|----------------------------------|---------|--|--|--|
| Full name of certificate | holder | | | | |
| P. O. Box Emai | l Mobile | | | | |
| Is/are registered to ex | port Horticultural seedlings for | | | | |
| the year | Name of County Sub- | | | | |
| CountyWard | | | | | |
| L.R. NoGPS c | oordinates -AltitudeN/S | E | | | |
| The following planting | materials will be produced | | | | |
| | | | | | |
| Types | number of planting stock | remarks | | | |
| | | | | | |
| | | | | | |
| Registration No | | | | | |
| Signed Date: | | | | | |
| (Director General) | | | | | |
| AGRICULTURE AND FOOL | AUTHORITY | | | | |
| | | | | | |

Conditions

- 1. This certificate is valid for one financial year.
- 2. This certificate is not transferable to any other person, or nursery site.
- 3. All seed must be from approved source.
- 4. No export of planting material before final visual inspection and approval by KEPHIS.
- 5. The application for renewal of this certificate shall be made to the

Authority so as to reach at least 3 months before expiry date.

- 6. Tolerance levels of virus diseases incidence in the nursery is 0.5% of total number of plants.
- 7. All certified materials which can't be sold during the certification season will be subjected to certification after (6) six months.

Form 5 (r. 12(3))

HORTICULTURE NURSERY OPERATOR STATISTICAL RETURNS FORM

(To be completed in triplicate)

| Т | THE AGRICULTURE AND FOOD AUTHORITY | | | | | | |
|-----|------------------------------------|------------|---|---|---------------------------------|---|--|
| Nan | Name of the Nursery operator | | | | | | |
| 1. | Telepho | ne/ Mobi | le numberP. | O. BoxNea | arest Town | | |
| 2. | 2. Period of | | | | | | |
| | returnsMonth | | | | | | |
| | Year | | Declaration: | | | | |
| | Crop | Variety | Number of seedlings raised within the period | Number of seedlings issued/sold/ distributed | Balanc e of seedlin gs | Average price of a seedling over the period (KES) | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | my know | wledge, is | that the informati s true and comple | _ | above, to the | best of | |
| | Name o | f the Nu | rsery | | | | |
| | Operato | r | | Date | | | |
| | Signatu | re | | | | | |
| 3. | For offic | ial use on | ly: | | | | |

| Name of |
|---|
| Inspector |
| DateSignature |
| |
| Official Agriculture and Food Authority |
| NB This form must be filled and submitted to the Agriculture and Food Authority, not later than the fifteenth day of January and fifteenth day of July of every year. |

Form 6 (r. 13(1))

APPLICATION FOR REGISTRATION OF PLANTATION GROWER/ GROWERS ASSOCIATION

(To be filled in triplicate)

| A – | A – Particulars of Applicant (New/Renewal - delete as appropriate): | | | | | |
|-----|---|-----------------|-----------------------|----------------------|---------|--|
| 1. | . Name of Company | | | | | |
| 2. | Certificate of | f Incorporation | n No | | | |
| 3. | 3. List Names and Identity Card Nos. for Directors | | | | | |
| | | | | | | |
| | Name | ID/Passport | Email address | Telephone number | Remarks | |
| | 1. | | | | | |
| | 2. | | | | | |
| | | | | | | |
| | 3. | | | | | |
| | Remarks Prov | vide Work Permi | its for Directors for | Foreign based Compar | nies | |
| 4. | 4. VAT Registration NoPIN | | | | | |
| 5. | 5. Postal addressPostal codeTown | | | | | |
| 6. | 6. Telephone NoE-mailWebsite | | | | | |
| 7. | 7. Physical address: Building | | | | | |
| | Stre | etTow | n B – | | | |
| | Particulars of | f Land Parcel f | or plantation | | | |
| | growers | | | | | |
| 1. | L.R. No. or | No's | | | | |
| 2. | County | | | | | |
| 3. | Sub Coun | ty | | | | |
| 4. | 4. Ward | | | | | |

| Type of crop | Variety | Acreage/Populatio | Average yield |
|--------------|---------|-------------------|---------------|
| | | | |
| | | | |

NB: For permanent crops indicate the plant population

I confirm that I shall abide by the requirements of the Horticulture Crops Regulations.

| Full nar | ime of | |
|----------|--------|-----|
| applicar | ntSigr | ned |
| | Date | |

PART C

| FOR OFFICIAL USE: | | |
|---|--|--|
| Remarks | | |
| ☐ Recommended ☐ Not recommended | | |
| Authorized Officer Agriculture and Food Authority | | |
| Signature | | |
| Date | | |

Attachments

- (a) Certificate of registration or incorporation
- (b) Copies of the Constitution or Articles and Memorandum of Association;
- (c) A copy of the register of members
- (d) Products handled

Form 7 (r. 14(1), (6))

APPLICATION FOR REGISTRATION AS A HORTICULTURAL PRODUCE DEALER IN THE DOMESTIC MARKET

| (To be filled in |
|---|
| triplicate) New |
| applicant Renewal |
| 1. Name of Applicant |
| Postal Address |
| 2. Physical address |
| 3. Registered OfficeL.R. NoStreet |
| Tel. NoFax No |
| E-mail Website |
| 4. Place where the premise is located: CountySub-CountyWard |
| 5. VAT Registration No/ID NoPIN |
| 6. Year of Incorporation of Company and Registration No. |
| (Attach copies of, company incorporation certificate ID of Directors and current Single Business Permit where applicable) |
| 7. Type of dealership e.g. Processor |
| /Transporter/marketing agent/. (specify) |
| |
| 8. Types of Produce/ Products for the market |

9. Sources of supply for Produce

| Name of county | Sub county | Ward | Name of buyers |
|----------------|------------|------|----------------|
| | | | |
| | | | |

Attach contranctual agreement if outsourcing for buyers

I hereby declare that the particulars which I have given are true and accurate to the best of my knowledge and belief.

| Applicant SignatureDateDate |
|-----------------------------|
| FOR OFFICIAL USE: |
| Remarks: |
| Approved/Not approved |
| 11. Checked by: |
| Officer NameSignatureDate |
| Approved by: |
| NameSignature |
| Date |

CONDITIONS

- 1. Consideration of this application will be on condition that the applicant satisfies the Authority that he is capable of complying with national standards and any other legal requirements.
- 2. The dealer shall produce such documentary evidence as requested to support the statements made above.
- 3. A dealer shall pay all dues to the Authority before his application can be considered.
- 4. The Authority has the right to approve or reject this application. In case of rejection reasons will be given and the applicant can reapply.

Form 8 (r. 14(3))

CERTIFICATE OF REGISTRATION OF PRODUCE DEALER FOR THE DOMESTIC MARKET

| Nam | e | | |
|---------------|---------|---------------------|--|
| Type deale | rship. | of | |
| P. | Ο. | Box: | Tel |
| Physi | cal | | Address |
| L.R. | ı | No | |
| Stree | et: | | |
| (/Tra | nspoi | rter/Buye | as a dealer <i>specify Type of dealership e.g Processor</i> er/Grocery etc) of horticulture produce/products under the following products: |
| Date | of | Regis | tration |
| Date | of | expiry | / |
| Signe | ed | | |
| | | | |
| Nam | e | | |
| (CEC | in ch | arge of A | griculture) |
| Offic | ial Ru | bber sta | mp of the respective County |
| gove | rnme | nt CONE | DITIONS |
| The | certifi | cate is r | ot transferable. |
| | | cate ma ulations | y be revoked, suspended or altered according to the provisions |

Form 9 (r. 15(1))

APPLICATION FOR REGISTRATION OF CLEARING AGENT FOR HORTICULTURAL CROPS

| (To | be filled in triplicate) |
|-----|--|
| 1. | Full name of Applicant |
| 2. | Postal AddressRegistered Office L.R. No |
| 3. | Place where the premise is located: Ward Sub-CountySub County |
| 4. | VAT Registration No/ID. NoPIN |
| 5. | Year of Incorporation of Companyand Registration No |
| | (Attach copies of, company incorporation certificate ID of Directors and current Single Business Permit) |
| 6. | Commodity types handled |
| 7. | List of horticultural export companies contracting your service (Attach service level agreement) |
| 8. | List full names documentation clerks (attach copies of ID and passport photograph) |
| 9. | I hereby declare that the particulars which I have given are true and accurate to the best of my knowledge and belief. |
| | Applicant Signature Position |
| | Date |

Form 10 (r. 15(3))

CERTIFICATE OF REGISTRATION FOR CLEARING AGENTS FOR HORTICULTURAL CROPS

| Name: | | |
|-----------|--------------------|--|
| P.O.Box: | | |
| | | Tel |
| Physical | | Address |
| • | | |
| L.R. | No. | |
| Street: | | |
| • | certified ompanies | as a clearing agent for of horticultural produce for the following : |
| | | |
| | | |
| | of ion | |
| Date of | | |
| expiry | | |
| | | |
| Signed | | |
| Name | | |
| Director | General/ <i>A</i> | Authorized |
| officer O | fficial Rub | ober stamp |
| of the Au | ithority C | ONDITIONS |

- 1. The certificate is not transferable.
- 2. The certificate may be revoked, suspended or altered according to the provisions of this regulations.
- 3. The Authority shall be notified when changes under this registration occur within 14 days.

| Form 11 | (r. 22(1)(a)) |
|---------|---------------|
| | |

DECLARATION OF PRODUCE SOURCE FROM DEALER OWNED FARMS

| Company name: | Physical business location: |
|-----------------------------|-------------------------------------|
| Company registration number | Certificate of registration number: |

| Addre | ess: P.C |). Box: | | | | E-mail | : | | | |
|-------|----------------------|-----------------------|------------|--------------------|-------|--------|----------------------------------|----------------------------------|---------------------------|-----------|
| Phon | Phone No. | | | | Date: | | | | | |
| No. | Far m na me | Tra ce Cod e | Coun ty | Subc ou ward | ecifi | ор | Area of crop (Acr e) | No. of Tre es (for fruit tree s) | Productio per season (Kg) | Certifica |
| | | | | | | | | | | |
| | | | | | | | | | | |

Form 12 (r. 22(1)(b))

DECLARATION OF PRODUCE SOURCE FROM CONTRACTED FARMS

| Company name | Physical business location: |
|---------------------------------------|-------------------------------------|
| Company registration number | Certificate of registration number |
| Company registration number | certificate of registration frameer |
| Address: P.O. Box: | E-mail: |
| | |
| Phone No. | Date: |
| | |
| Name of association (where applicable | e) |

| Name of | farmer . | Trace | code,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |
|----------|----------|---------------------|--|
| | | Il ,,,,,,Email | |
| • | | Sub county | / |
| | | No N/S | |
| Farmer's | and cro | p production detail | ils |

| Name of crop | Variety | Area (acre) | Production (MT) | Certification Status |
|--------------|---------|-------------|--------------------|-------------------------|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

CONDITIONS

Attach duly signed contracts for each of

farmer indicated above A different form

| should be filled for each crop | |
|---|------|
| Name of company representative: Designation | Date |
| Signature | |
| Stamp: | |
| | |

Form 13 r. 22(1)(c)

DECLARATION OF PRODUCE SOURCE FROM RETAIL OR WHOLESALE MARKET

| Company name | Physical business location: | | | | |
|--|------------------------------------|--|--|--|--|
| Company registration number | Certificate of registration number | | | | |
| Address: P.O. Box: | E-mail: | | | | |
| Phone No | Date: | | | | |
| Name of association (where applicable) | | | | | |

Market supply information

| Name of suppli er | Contact detail | Type of crop | name of market | County | Subcounty |
|----------------------------|-------------------|--------------|-------------------|--------|-----------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

| \sim | | _ | | | _ |
|--------|------|-----|---------|---|---|
| CO | I AI | ı | 111 | N | |
| | им | . , | | ı | _ |
| | | | | | |

| information for each market source and crop |
|--|
| type should be provided An inspection will be |
| conducted for each produce source |
| Name of company representative:Designationdate |
| Signature |
| Stamn: |

Form 14 r. 24(2)

COMPLIANCE CERTIFICATE

| This | is to certify that the following horticulture produce/products |
|------|--|
| 1. | |
| 2. | |
| 3. | |
| | have been inspected and meet the national, regional |
| | and international standards. Scope Including: |
| 1. | Transport |
| 2. | Farm |
| 3. | retail outlet |
| 4. | Pack house |
| | Consignment |
| | details |
| | Name of dealerType of dealership |
| | Registration certificate/license |
| | number Validity period: |
| | from |
| | To |
| | Date of issuetime issued a.m./p.m. |
| | Signature |
| | Director General |
| | Agriculture and Food Authority |
| | |

Form 15 r. 26(1)

HORTICULTURAL PRODUCE IMPORTERS APPLICATION FORM

| 1. | Name of business/company (attach copy of certificate of incorporation) |
|----|--|
| 2. | Physical business location |
| 3. | Postal address |
| 4. | Telephone: Mobile No |
| | Fixed line No |
| 5. | KRA P.I.N No (attach copy) |
| 6. | E-mail address |
| 7. | Location of horticulture produce handling facilities |
| | List the Company's intended imports of major horticultural produce and the respective country of origin. |

| No. | Produce | Country | Quantity (Kgs) Annually |
|------|---------|---------|----------------------------|
| I. | | | |
| II. | | | |
| III. | | | |
| IV. | | | |
| V. | | | |

- 9. Provide the following details regarding the company's intended supply of imported horticultural produce
 - (I) Supply/distribution into the domestic market:

| No. | Name of client (Supermarket, | Physical location (building street) | Major produce to be |
|-----|---------------------------------|-------------------------------------|------------------------|
|-----|---------------------------------|-------------------------------------|------------------------|

| | Hotel, Grocery stores etc.) | supplied |
|------|-----------------------------|----------|
| I. | | |
| II. | | |
| III. | | |
| IV. | | |
| V. | | |
| V. | | |

(II) Re-exported produce and the respective countries

| No. | Re-exported produce | Country |
|------|---------------------|---------|
| I. | | |
| II. | | |
| III. | | |
| IV. | | |
| V. | | |

| I hereby declare that the particulars which I have given are true and |
|---|
| accurate to the best of my knowledge and belief. |

| Date: Signatory: | | |
|----------------------------------|--|------|
| Company Stamp/ Seal Signature | | |
| | | |

Form 16 r. 26(4)

HORTICULTURAL PRODUCE IMPORT LICENSE

| Nam | ne importer |
|------|---|
| Lice | nse Number |
| Add | ress: Tel |
| L.R. | No |
| Stre | et: |
| | ereby licensed as an importer under this Regulation for the following icultural produce: |
| 1. | |
| 2. | |
| 3. | |
| | The License expires on |
| | Date of issue |
| | Signed |
| | Name |
| | (Director General) |
| | Official stamp of the |
| | Authority CONDITIONS |
| 1. | Any changes to the source of produce and list of buyers submitted to the Authority by the dealer shall be notified in advance to the Authority in writing |
| 2. | This License is not transferable. |
| 3. | This License may be revoked, suspended or altered in circumstances outlined in section 23 of the Crops Act (Cap. 318). |

Form 17 r. 27(1)

APPLICATION FOR IMPORT CERTIFICATE

| Name of import company | | | | | | | |
|---------------------------|------------------------|----------------------|----------------------|--|--|--|--|
| Address | Telephone | | | | | | |
| Email | Physical location | | | | | | |
| Contact person | | | | | | | |
| Import license Nur | mber | | | | | | |
| Import date and ti | me | | | | | | |
| Point of entry | Type of v | essel and number | | | | | |
| Type of product: □F | resh produce □processe | d product (Tick as a | appropriate) | | | | |
| Produce Type (Hs Code) | Quantity (Kilograms) | Country of origin | Value (F.O.B) KES | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| FOR OFFICIAL USE | | | | | | | |
| Checked by: | | | | | | | |
| Name of officer | | | | | | | |
| Approved by: | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Official rubber stamp | | | | | | | |

Form 18 (r. 27(3))

| IMPORT CERTIFIC | ATE | | | | | | |
|--|-------------------------|--------------------|---------------------|------|--|--|--|
| Name of Dealer | | | | | | | |
| Address | Te | el | | | | | |
| | | • | | | | | |
| Contact person | | | | | | | |
| | | | | | | | |
| Import Certificate Registration No. | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| Point of entry/o | | | | | | | |
| Is hereby authorize (Tick as appropriate | | wing; □Fresh produ | ıce □Processed proc | duct | | | |
| Produce Type (Hs Code) | Quantity (Kilograms) | Country of Origin | Value (F.O.B) KES | | | | |
| | | | | | | | |
| | Ī | i | | | | | |

FOR OFFICIAL USE

| Checked by: | |
|--------------------------|--------|
| Officer NameSignature | Date |
| Approved by: | |
| Director General Sig | nature |
| Official Rubber stamp | |

Terms and Conditions:

- 1. The Authority may vary, suspend or cancel the horticulture produce import certificate issued if the holder fails to abide with the national horticulture produce standards.
- 2. The importer shall provide all the information of the transaction in question to the Authority on demand.
- 3. The import permit may be suspended without notice where such importer infringes the legal requirements as set out in the laws of Kenya.
- 4. The holder of this certificate shall submit monthly returns to the Authority in the prescribed format
- 5. A registered importer shall provide, on request by the Authority;
 - (a) Original copies of importation and customs entry documentation.
 - (b) An import permit for shipment of the specific consignment.
 - (c) Evidence of payment of duties and levies.
 - (d) A certificate of origin and contact details of the exporters and importers from whom they procured.
- 6. All consignments whether repacked or in original package shall bear in bold print, the name and contact details of the consignor, consignee and the country of origin.

- 7. Produce intended for importation into the country shall be sampled and analysed for conformity to national food quality and safety standards and requirements at the point of entry by the Authority.
- 8. Any consignment of horticulture produce that is either smuggled or does not conform to the quality standards, shall be seized, detained and disposed of or destroyed in an appropriate manner by the Authority at the cost of the offender.
- 9. Horticulture produce and products whose documentation does not meet the requirements of the Authority shall be seized and detained for verification and may be released to the consignee through issuance of a release order from the Authority.

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APPLICATION FOR EXPORT LICENSE FOR HORTICULTURAL PRODUCE (To be filled in triplicate)

| 1. | Full name of Applicant |
|----|--|
| 2. | Postal AddressRegistered |
| | OfficeL.R. No |
| | Street Tel.No |
| | Fax No |
| | E-mail Website |
| 3. | Place where the premise is located: Ward Sub-County |
| 4. | VAT Registration No/ID. NoPIN |
| 5. | Year of Incoporation of Companyand Registration No. |
| | (Attach copies of, company incorporation certificate ID of Directors and current Single Business Permit) |
| 6. | Types of Produce/Products for the market |
| 7. | Sources of supply for Produce |
| 8. | List of buyers for produce (Attach contractual agreements if outsourcing) |
| | I hereby declare that the particulars which I have given are true and accurate to the best of my knowledge and belief. |
| | Applicants |
| | Signature |
| | Date |
| | |
| | FOR OFFICIAL USE |
| | Checked by: |

| | NameDate | |
|----------|----------|----------|
| Approved | by: | |
| | Name | Date |

The Authority has the right to approve or reject this application. In case of rejection reasons will be given and the applicant can reapply.

General Conditions

- Consideration of this application will be conditional on the applicant satisfying the Authority that the Applicant is capable of complying with national and International Standards and any other legal requirements.
- 2. The dealer shall produce such documentary evidence as requested to support the statements made above.
- 3. Dealers in export shall produce a valid contractual agreement in the prescribed form between themselves and the entities from which they source their produce and also the entities to which they sell their produce.
- 4. A dealer shall pay all dues to the Authority before his application can be considered.

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HORTICULTURAL PRODUCE EXPORT LICENSE

| Nan | ne exporter |
|------|--|
| Lice | nse Number |
| Add | ress:Tel |
| L.R. | No |
| Stre | et: |
| | ereby licensed as an exporter under this Regulation for the following ducts: |
| 1. | |
| 2. | |
| 3. | |
| | The License expires on |
| | Date of issue |
| | Signed |
| | Name |
| | (Director General) |
| | Official stamp of |
| | the Authority |
| | CONDITIONS |
| 9. | Any changes to the source of produce and list of buyers submitted to the Authority by the dealer shall be notified in advance to the Authority in writing. |
| 10. | This License is not transferable. |
| 11. | The License may be revoked, suspended or altered in circumstances outlined in Crops Act (Cap. 318). |

Form 21 (r. 29(1))

APPLICATION FOR EXPORT CERTIFICATE

| Name of Export Company | | | | | | |
|---|-------------------------|--------------------|-------------------|--|--|--|
| Address | Tel | | | | | |
| Email | Physical Loca | ation | | | | |
| Contact person | | | | | | |
| Exoport License N | 0 | | | | | |
| Export date and tin | ne Date: | Time | | | | |
| Point of Exit | | | | | | |
| Is hereby authorized product (Tick as app | • | wing; □Fresh produ | ice □Processed | | | |
| Produce Type (Hs Code) | Quantity (Kilograms) | Country of Origin | Value (F.O.B) KES | | | |
| | | | | | | |
| | | | | | | |
| FOR OFFICIAL USE | | | | | | |
| Checked by: | | | | | | |
| Officer NameDate | | | | | | |
| Approved by: | | | | | | |
| Director GeneralDateDate | | | | | | |
| Official Rubber stan | пр | | | | | |
| | | | | | | |

Form 22 (r. 29(2))

EXPORT CERTIFICATE

| Name of DealerRegistration No | | | | | | | |
|---|---|-----|--|--|--|--|--|
| Address | Tel | | | | | | |
| Email | Physical Locat | ion | | | | | |
| Contact person | | | | | | | |
| Validity period fro | om | to | | | | | |
| Point of exit | | | | | | | |
| Export certificate for as appropriate) | Export certificate for the following; \Box Fresh produce \Box Processed product (<i>Tick</i> | | | | | | |
| Produce Type (Hs Code) Country of Origin Value (F.O.B) KES (Kilograms) | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| NB: Attach invoice | | | | | | | |
| Checked by: | | | | | | | |
| Authorized Officer's Name | | | | | | | |
| Signature Date | | | | | | | |
| Official Rubber stamp | | | | | | | |
| of the Authority Ter | rms | | | | | | |
| | | | | | | | |

and Conditions:

- The exporter shall provide all the information of the transaction in question to the Authority on demand.
- 2. The export permit may be suspended without notice where such exporter infringes the legal requirements as set out in the laws of Kenya.

| Form 23 | r. 30(1) | | |
|---------|----------|--|--|
|---------|----------|--|--|

QUARTERLY RETURNS ON IMPORT/EXPORT OF HORTICULTURAL CROPS PRODUCE AND PRODUCTS

| | (To | be fill | led in | tripl | icate) |
|--|-----|---------|--------|-------|--------|
|--|-----|---------|--------|-------|--------|

| 1) | Name/Business name of importer/exporter as appearing on the registration certificate |
|----|--|
| 2) | Certificate No |
| 3) | Postal addressCode: |
| 4) | Tel Email |
| 5) | Contact person |
| 6) | Returns for the period (indicate in quarters) |
| | |

| Quarter | Nam e of crop produc e or produc t | Quantity (Kilograms) Imported/ex ported | Customs Value (KES) | Country of origin/destination country | Point of entry/exit |
|---------|------------------------------------|--|---------------------------|---------------------------------------|---------------------|
| | | | | | |
| | | | | | |
| | | | | | |

| NB: Indicate the applicable quarter Q1: January – March; Q2: April – June; Q3: July – Sept; Q4: Oct - Dec |
|--|
| I hereby declare that the particulars which I have given are true and accurate to the best of my knowledge and belief. |
| Applicant's Signature Date |
| Official stamp/seal |

THIRD SCHEDULE FEES AND LEVIES

| REGISTRATION AND PERMIT CATEGORIES | FEES (KES) | VALIDITY | RESPONSIBILITY |
|--|--|--------------------|----------------|
| Dealers registration for the domestic market | 5,000.00 | Annual | Authority |
| Pack house registration | 5,000.00 | Annual | Authority |
| Export license fee | 10,000.00 | Annual | Authority |
| Importer registration | 10,000.00 | Annual | Authority |
| Export Levy | 0.25 % of Customs value | Per consignment | Authority |
| Import levy – Finished products | 4% of import value | Per consignment | Authority |
| Avocado oil Processing Registration | 50,000 | Annual | Authority |
| Import levy – Raw materials | 2% of import value | Per consignment | Authority |
| Inspection for nursery/mother block | 1,000.00 | Annual per site | Authority |
| Inspection for produce conformity | 1,000.00 | Per inspection | Authority |
| Audits due to non-compliance to national, regional and international standards | 100% of the inspection cost of systems audit | Per inspection | Authority |

| Nursery operator /Mother block registration for export market | | | Authority |
|---|----------|--------|-----------|
| Annual turnover of 0 to 50,000 | 1,000.00 | Annual | Authority |
| Annual turnover of 50,001- 250,000 | 2,500.00 | Annual | Authority |
| Annual turnover of 250,001- 500,000 | 5,000.00 | Annual | Authority |
| Annual turnover above 500,000 | 7,500.00 | Annual | Authority |